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Annex US.II, page 1

PCT Applicant's Guide - Volume II - National Chapter - US

FORM PTO/130
(REV. 1-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER
172A 3075 PCT

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NUMBER
(if known, see 37 C.F.R. 1.52)
09/762846

INTERNATIONAL APPLICATION NO.
PCT/JP00/03807

INTERNATIONAL FILING DATE
June 12, 2000

PRIORITY DATE CLAIMED
June 18, 1999

TITLE OF INVENTION
PIEZO-OSCILLATOR

APPLICANT(S) FOR DO/EO/US
TOSHIKAZU UCHIYAMA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
11. ☐ Applicant claims small entity status.

Items 12. to 17. below concern document(s) or information included:

12. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
13. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
14. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☒ Other items or information:
 - a. Copy of Form PCT/IB/308 (Notice re Application to the Designated offices)
 - b. Five (5) sheets of drawings
 - c. Copy of Form PCT/ISA/210 (International Search Report)
 - d. Change of Correspondence Address

Transmittal Letter to the United States Designated Office (DO/US)—Entry Into National Stage under 35 U.S.C. 371—PTO 1390 [13-7]

U.S. APPLICATION NO.
(if known, see 37 C.F.R. 1.53)
097762846

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18. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5)):

Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO of JPO **\$1000**

International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO **\$860**

International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO **\$710**

International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) **\$690**

International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) **\$900**

ENTER APPROPRIATE BASIC FEE AMOUNT =

Surcharge of \$130 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER ALLOWED	NUMBER EXTRA	RATE
Total claims	11	20	0	\$ 18
Independent claims	5	3	2	\$ 80
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+
				\$270
TOTAL OF ABOVE CALCULATIONS =				\$ 1,290

Reduction of 1/2 for filing by small entity, if applicable.

SUBTOTAL =

Processing fee of \$130 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).

TOTAL NATIONAL FEE =

Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40 per property

TOTAL FEES ENCLOSED =

Amt. Refunded

Amt. charged

\$

a. ☒ Checks in the amounts of \$40 and \$1,290 to cover the above fees are enclosed.

b. ☐ Please charge my Deposit Account No. 11-1445 in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1445. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

KODA & ANDROLIA
2029 Century Park East, Suite 3850
Los Angeles, CA 90067-3024
Dated: February 9, 2001

SIGNATURE

H. Henry Koda
REG.NO. 27,729

Form PTO-1390 (REV 1-98)

Transmittal Letter to the United States Designated Office (DO/US)—Entry Into National Stage under 35 U.S.C. 371—PTO 1390 [13-7]

09/762846

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I hereby certify that this correspondence is being deposited with the United States Postal

Service as Express Mail No. EL719793093US addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231

on February 9, 2001 by Inja Yi.

Dated: 2/9/01

By: Inja Yi.

172A 3075 PCT

SUZUKI

Applicant(s):

TOSHIKAZU UCHIYAMA

For:

PIEZO-OSCILLATOR

patent application serial no.

*Department of Commerce
Patent and Trademark Office
for record*

02/20/2001 SNAJARRO 00000016 09762846

01 FC:970	860.00 OP
02 FC:964	160.00 OP
03 FC:968	270.00 OP